

Replicate an Account

PERSHING ADVISOR SOLUTIONS LLC

Complete this form to open additional identically registered accounts. All account features will be replicated unless noted below. Once completed, upload this form directly into NetX360 under the new account number or fax to (866) 355-5571.

STEP 1. ACCOUNT INFORMATION

Existing Account Number	Account Registration
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Include an IRA Adoption Agreement for individual retirement accounts ("IRAs") if the existing account registration was opened prior to September 2002. **If you are opening an exempt account and your existing account was opened using the August 2013 version of the W-9, please also submit a new W-9 with this form.**

ACCOUNT NUMBER	TAX LOT DISTRIBUTION METHOD*	ADVISOR SECTION ONLY				INVESTMENT PROFESSIONAL NUMBER
		FEE SCHEDULE	PROGRAM NAME IF ASSET BASED	MANAGER NAME/STYLE OR ACCOUNT MNEMONIC	COMBINED MARGIN	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	

* If an alternative distribution method is required please choose from the following:

Tax Lot Disposition Methods for mutual funds and stocks in Pershing's Dividend Reinvestment Plans are Average Cost using First In First Out ("FIFO"), High Cost, High Cost Long-Term, High Cost Short-Term, Last In First Out ("LIFO"), Low Cost, Low Cost Long-Term, Low Cost Short-Term, and Minimize Short-Term Gains.

Tax Lot Disposition Methods for all other securities are FIFO, High Cost, High Cost Long-Term, High Cost Short-Term, LIFO, Low Cost, Low Cost Long-Term, Low Cost-Short Term, and Minimize Short-Term Gains.

STEP 2. NETXINVESTOR AND ELECTRONIC DELIVERY

If you have an existing NetXInvestor User ID, please provide it here. The new accounts will be linked to this ID.

If you have not been provided a NetXInvestor ID, all documents for new accounts will be provided in paper format. Please speak with your Investment Advisor if you currently receive these documents via paper and would like to be enrolled for eDelivery. Fees may be associated with paper documents.



STEP 3. BENEFICIAL OWNERS (REQUIRED FOR ENTITY ACCOUNTS ONLY)

To help the government fight the funding of terrorism and money laundering activities, federal laws require that all financial institutions obtain, verify, and record information that identifies beneficial owners and/or control persons for legal entities that open an account.

Choose one option only:

- The Beneficial Owner Information form has been provided for the existing account referenced above and the information is still valid.
- Beneficial owner and/or control person information has changed for the existing account referenced above and a new Beneficial Owner Information form will be submitted with this form.
- The Beneficial Owner Information form has not been provided for the existing account referenced above, therefore, one will be submitted with this form.
- Beneficial owner and/or control person information has not been provided for the existing account referenced above due to an existing exclusion. An Exclusions Form is on file or will be submitted with this form.

STEP 4. SIGNATURE AND ARBITRATION DISCLOSURES

You acknowledge that this Replicate Account Form shall act as an amendment to the Account Application and Agreement (“Agreement”) you also signed, and you agree to the changes herein. All terms and conditions in the Agreement, including the trading authorization granted therein, and the Arbitration Disclosures, shall apply to this account.

YOU ACKNOWLEDGE RECEIVING A COPY OF THE AGREEMENT.

If Investment Advisor signs this form on behalf of Account Holder(s), Investment Advisor further represents that the Account Holder(s) has authorized it to sign on its behalf.

Account Holder/Trustee/Corporate Officer

Print Name	Date
[][][][][][][][][][][][][][][][]	
Signature	
X	

Joint Account Holder/Trustee (if applicable)

Print Name	Date
[][][][][][][][][][][][][][][][]	
Signature	
X	

Investment Advisor on Behalf of Account Holder(s)

Print Name	Date
[][][][][][][][][][][][][][][][]	
Signature	
X	